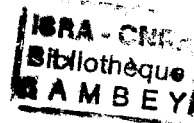


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*Bull. Soc. bot. Fr., 137, Actual. bot. (1), 125-137, (1990).*

## **Genetic of adaptation to drought of cultivated species and consequences on plant breeding**

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**Summary.** - Among various methods used in wrestling with drought, genetic improvement holds badly exploited cards which should enable it to play one of the leading parts. Among others, improvement based directly on physiological characters of adaptation to drought is involved. For the most important cultivated species, informations are gathered in form of the available data concerning genetic variability of these adaptive characters, the nature of correlations between each other and between them and the production characters, and lastly their heredity. This stage enables us to point out the blanks in our knowledge of these different components of selection, to identify the limits of the classical selection method based on production in dry conditions and finally to work out a more effective approach of genetic improvement of adapted complex ideotype.

This method needs an enlargement of the genetic variability which is studied, the application of selection based on the various characters of adaptation and production, the parting of long-term improvement led by recurrent selection with the short-term one led by classical varietal selection, and the parting of selection for productivity with selection on adaptive characters.

**Résumé.** - Parmi les différentes méthodes utilisées pour lutter contre la sécheresse, l'amélioration génétique possède des atouts mal exploités qui devraient lui permettre de jouer un rôle de première importance. Parmi ceux-ci, l'application d'une amélioration directe des caractères physiologiques d'adaptation à la sécheresse est particulièrement importante. Chez les principales espèces cultivées, les principales données disponibles sont présentées sur la variabilité génétique de ces caractères adaptatifs, sur la nature des corrélations existant entre ceux-ci et avec les caractères de production et enfin sur leur hérédité. Cet examen permet de mettre en évidence les lacunes dans nos connaissances de ces différentes composantes intervenant dans la sélection, de mettre en évidence les limites des méthodes de sélection classiques basées sur la production en conditions sèches, et finalement de proposer une approche plus efficace de l'amélioration génétique des idéotypes d'adaptation complexes.

Cette approche repose sur un élargissement de la variabilité génétique qui est travaillée, sur l'application d'une sélection basée sur différents caractères d'adaptation et de production, sur la dissociation de l'amélioration à long-terme menée par sélection récurrente, de l'amélioration à court-terme menée à l'aide des méthodes de sélection classiques, et enfin sur la dissociation de la sélection pour la productivité de la sélection des caractères adaptatifs.

**Key words :** drought - adaptation • selection • genetic • variability • heredity.

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## INTRODUCTION

In order to achieve an improvement of adaptation of cultivated species to different types of drought they go through, it is clear that the involved methods - plant breeding, improvement of farming tecnics, among others **recourse** to irrigation, development and management of soils - are to be parts of a whole.

Among these methods, breeding is able to play a part of major importance by creating better adapted varieties related to various drought conditions. Its advantages, which are not **sufficiently** exploited, lies in the use of a wide inter- and intra-species variability, in the application of strides of physiology on the understanding of adaptive mechanisms and in the **choice** of breeding methods adapted to **each** case.

Among these advantages, the application of physiology is particularly neglected. **Very** few breeding programs from **all over** the world associate physiology to their approach as a part of a whole, although **such** an association would, without **any** doubt, present a great potential. This remark would apply to gcnetic improvement of characters depending on the whole physiology of the plant. This is the case of **productivity**.

The first signs of a reasoned use of "the physiological tool" for breeding are becoming **discernible**. This use is **one** of the ways which should allow genetic progress ; which has been for more than 60 years by breeding, to **remain** firm. Other potential **approaches**, as far as we **can** now identify them, deal with a better long term management of genetic variability and with the use of biotechnologies.

From a genetic point of view, three fondamental components, **concerning** physiological characters of adaptation to drought **will** shape the leading of breeding. They are :

- ≈ genetic variability of adaptive characters ;
- ≈ nature of correlations between these characters ;
- ≈ their heredity.

## 1.- GENETIC VARIABILITIES OF ADAPTIVE CHARACTER

As Ahmadi (1983) mentions it : "Adaptation to drought appears as the result of numerous anatomical, morpbological, physiological and biochemical characteristics, constituent or inductible, which interact in order to make possible the keeping of growing and development processes under conditions of **climates** and soils called drought". In order to simplify, we **will call** "physiological characters of adaptation" **all** these mechanisms.

In the data-boards presented in appendixes **1, 2, 3** (Khalfaoui, **1988**), we list the various physiological characters of adaptation according to Levitt's classification (1981) and point **out** : their influence on **productivity**, their **reversibility**, their aptitude for easy **selection**, and their effectiveness in relation to different types of drought. These data-boards extensions from Turner's **one** (**1981**), with a differcnt opinion concerning the influence of some characters on **productivity** and their aptitude for easy **selection**.

Concerning the main species, a bibliographical study of the works led in order to point **out** the existence of genetic variabilities in the manifestation of adaptive characters, allows us to build the data-boards of appendixes **4, 5, 6**. Their analyse makes clear a great disparity between the levels of various species and various adaptive characters, reached through research. For example, the gcnetic

Appendix 1.- Mechanisms of drought escape

| MECHANISMS   | Effect on Productivity | Reversibility on relief of stress | Easiness in screening                  | Drought at                  |                            | Drought during the culture |                            |            |
|--|------------------------|-----------------------------------|--|-----------------------------|----------------------------|----------------------------|----------------------------|------------|
|  |                        |                                   |  | early stages of the culture | late stages of the culture | Steady small rains         | Drought periods            |            |
|  |                        |                                   |  |                             |                            |                            | during the sensitive stage | contingent |
| - Earliness  |                        | I                                 |  | +                           | +                          | 0                          | 0                          | 0          |
| - Shifting of the sensitive stage during the cycle | (-)                    | NR                                | difficult                              | 0                           | 0                          | 0                          | +                          | 0          |
| - Developmental plasticity                         | 0                      | R                                 | easy to difficult according to species | +                           | 0                          | 0                          | +                          | +          |

- = negative effect ; + = positive effect ; 0 = without effect ; ? = unknown effect ; ( ) = uncertainly established effect ; R = reversible ; I = irreversible ; PR = partially reversible.

variability of adaptive characters of the wheat is pretty well known ; on the contrary, informations concerning *Phaseolus vulgaris* L. are very fragmentary. In the same way, the genetic variabilities of the parameters of root development are pretty well known for the main species although those concerning the keeping of photosynthesis have been studied for very few species. Beyond making clear the adaptive characters of species, this points out the important effort of research that is still to be done in the field of characterization of their genetic variability.

### Consequences for breeding

According to the type of drought which the varieties to be created will have to be adapted to, the choice of characters, in addition to agronomic characters, defines an ideotype of selection. The existence of a genetic variability at disposal for each adaptive character is the necessary condition to their genetic improvement. It is the reason why stomatal control of wheat (Fisher *et al.*, 1979 ; Sojka *et al.*, 1979 ; Clarke *et al.*, 1982) and rice (Ahmadi, 1983), glucidic reserves in the roots of peanut (Khalfaoui, 1988) and osmotic adjustment of rice (Culter *et al.*, 1980) cannot be improved for lack of sufficient genetic variability although there are physiologically effective for each of these species.

## 2.- PHYSIOLOGICAL AND GENETIC CORRELATIONS BETWEEN CHARACTERS

We make a distinction between two kinds of correlations between adaptive characters and between them and agronomic characters. The first one regroups physiological direct correlations and the second one indirect genetic correlations.

Direct physiological correlations cannot be modified by selection. An

## Appendix 2.- Mechanisms of drought avoidance

|   | Effect on Productivity | overall-ility on relief of trees | Ease in Screening | Drought at                               |                            | Drought during the culture |   |                 |            |         |              |
|---|------------------------|----------------------------------|-------------------|--|----------------------------|----------------------------|---|-----------------|------------|---------|--------------|
|   |                        |                                  |                   | early stages of the culture (limited WR) | late stages of the culture |                            | Significantly during the generative stage | Drought periods |            |         |              |
|   |                        |                                  |                   |  | deep WR                    | limited WR                 |   | deep WR         | limited WR | deep WR | (limited WR) |
| <b>Reduction of water loss</b>                  |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| Reduction of leaf area :                        |                        | I                                | easy              | O  | -                          | +                          | +   | +               | +          | +       | +            |
| * Constituent characters                        |                        | PR                               | easy              |  |                            |                            |   |                 |            |         |              |
| * Inductible characters :                       |                        |                                  | easy              |  |                            |                            |   |                 |            |         |              |
| . leaf size                                     |                        |                                  | difficult         |  |                            |                            |   |                 |            |         |              |
| . leaf senescence                               |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| High stomatal resistance :                      |                        | R                                | easy              | +  | +                          | O                          | +   | +               | +          | +       | +            |
| * rapid stomatal closure with glucidic reserves |                        |                                  | quite easy        | +  | +                          | O                          | +   | +               | +          | +       | +            |
| * low transpiration rate at complete aperture   |                        | I                                | easy              | +  | +                          | +                          | +   | +               | +          | +       | +            |
| * stomatal density and size :                   |                        | I                                | easy              | +  | +                          | +                          | +   | +               | +          | +       | +            |
| according to species                            |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| high  |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| low   |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| high  |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| High cuticular resistance :                     |                        | O                                | easy              | +  | +                          | +                          | +   | +               | +          | +       | +            |
| * epicuticular wax.                             |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| * cohesiveness of cells                         |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| Leaf pubescence                                 |                        | O                                | easy              | +  | +                          | +                          | +   | +               | +          | +       | +            |
| Reduction of interception of radiations :       |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| * reduction of leaf area (above)                |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| * high leaf reflectance :                       |                        | (-)                              | easy              | +  | +                          | +                          | +   | +               | +          | +       | +            |
| . epicuticular wax                              |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| . foliage color                                 |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| * leaf angle                                    |                        | (+)                              | easy              | +  | +                          | +                          | +   | +               | +          | +       | +            |
| * leaf movements :                              |                        | O                                | easy              | +  | +                          | +                          | +   | +               | +          | +       | +            |
| . wilting                                       |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| . rolling                                       |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| . paraheliotropy                                |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| * spikelets                                     |                        | +                                | easy              | +  | +                          | +                          | +   | +               | +          | +       | +            |
| <b>Water absorption</b>                         |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| Root growth :                                   |                        | I                                | difficult         |  |                            |                            |   |                 |            |         |              |
| * rate of initial growth                        |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| * depth   |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| * root density                                  |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| ▶ low   |                        | to                               |                   | -  | +                          | +                          | -   | +               | +          | +       | +            |
| ▶ high  |                        | to                               |                   | +  | +                          | +                          | +   | +               | +          | +       | +            |
| Hydraulic resistance of roots                   |                        |                                  |                   |  |                            |                            |   |                 |            |         |              |
| * diameters of xylem vessels                    |                        |                                  | quite easy        |  |                            |                            |   |                 |            |         |              |
| * number of xylem vessels                       |                        |                                  | easy to diff.     |  |                            |                            |   |                 |            |         |              |
| ▶ high  |                        |                                  | (Species)         | -  | +                          | +                          | -   | +               | +          | +       | +            |
| ▶ low   |                        |                                  |                   | +  | +                          | +                          | +   | +               | +          | +       | +            |

Legend : Appendix 1. WR = water reserve of the soil.

example is given by the opposition for the restriction of transpiration by closure of stomata to the keeping of photosynthetic **activity** (Cowan et *al.*, 1977). From a genetic point of view, the phenomenon of pleiotropism is involved. It is the kind of correlation with production under conditions of drought that the characters studied must show, in order for them to be **effectively** adaptive.

But, in numerous cases, a genetic indirect correlation between **characters** determined by distinct genes is involved. Given expressions **may** have been associated in the **same** genotypes under the effect of natural and **human** selection pressures. If these genes are linked, it **will** be **very difficult**, even impossible, to dissociate their **allels** by genetic recombination in segregating material. On the other hand, if there is no linkage effect between genes, associations of **allels** **will** be modified by genetic recombination.

## Appendix 3.- Mechanisms of drought tolerance

| MECHANISMS  | Effect on Productivity | Reversibility On relief of stress | Easiness in re-screening | Drought et                  |                            | Drought during the culture |                            |           |
|---|------------------------|-----------------------------------|--------------------------|-----------------------------|----------------------------|----------------------------|----------------------------|-----------|
|   |                        |                                   |                          | early stages of the culture | late Stages of the culture | Steady small rains         | Drought periods            |           |
|   |                        |                                   |                          |                             |                            |                            | during the sensitive stage | con-tinge |
| ▪ Germination under high osmotic pressure   | 0                      | I                                 | difficult                | +                           | 0                          | +                          | 0                          | 0         |
| ▪ Tolerance to dehydration (and heat) :<br>stability of protoplasmic membranes<br>stability of proteins (among others those involved in photosynthesis) | ?<br>(-)               | ?<br>I                            | easy<br>difficult        | +                           | +                          | +                          | +                          | +         |
| Maintenance of turgor :<br>osmotic adjustment<br>tissue elasticity  | ?                      | R<br>I                            | difficult                | +                           | +                          | +                          | +                          | +         |
| Maintenance of photosynthesis :<br>amount of proteins/unit of leaf area.<br>spikelets   | +<br>+                 | I<br>I                            | easy<br>easy             | +<br>0                      | +<br>+                     | +<br>+                     | +<br>+                     | +<br>+    |
| Maintenance of harvested organs production :<br>change in allocation of assimilates<br>compensatory effect of production components                     | 0                      | (R)<br>NR                         | difficult<br>easy        | 0<br>0                      | +<br>0                     | +<br>+                     | +<br>+                     | +<br>+    |
| Modification of metabolism (plant hormones)   | ?                      | R                                 | difficult                | +                           | +                          | +                          | +                          | +         |

Legend : Appendix 1

**This phenomenon has a direct consequence on physiological interpretations. Actually, physiological studies deal with varieties which have been created by natural and human selection. Some correlations between characters and between them and productivity may lead to think wrong, about physiological direct correlations, therefore links between causes and effects, exist although they actually depend on genetic indirect correlations. The risk of genetic indirect correlations increases when, willing to present a complete approach of the species behaviour, research workers associate in their studies varieties representative of ideotypes of adaptation very different from each other. Without any linkage effect, expressions will be dissociated in the individuals issued from a cross between these varieties. An example is given by the case of precocity of peanut (Khalifaoui, 1988). A study of correlation for a group of varieties representative of the collection has pointed out a very important positive correlation (- 0,98<sup>+++</sup>) between flowering precocity and fruit maturation precocity. After prime analysis,**

Appendix 4.- Genetic variability of mechanisms of drought **escape** for the main studied species

| MECHANISMS   | GRAMINACEOUS |       |              |      |         |       | LEGUMINOUS                |        |        |         | OTHERS |          |        |           |
|--|--------------|-------|--------------|------|---------|-------|---------------------------|--------|--------|---------|--------|----------|--------|-----------|
|  | BARLEY       | MAIZE | PEARL MILLET | RICE | SORGHUM | WHEAT | BEAN (PHASEOLUS VULGARIS) | COUPEA | PEANUT | SOYBEAN | COTTON | OIL PALM | POTATO | SUNFLOWER |
| - Earliness  | +            | +     | +            | +    | +       | +     | +                         | +      | +      |         | +      |          | +      | +         |
| - Shifting of the sensitive stage during the cycle |              | +     |              |      |         |       |                           |        |        |         |        |          |        |           |
| Developmental plasticity                           | +            | +     |              |      | +       | +     | +                         | +      | +      | +       |        | +        | +      | +         |

+ = existence of genetic variability ; + = lack of genetic variability ; 0 = absence of genetic variability ; ( ) = uncertainly established effect

this correlation seemed to be due to a direct physiological link between the two characters. But, a study of the F3 generation conducted on segregating material showed a total absence of phenotypical (0, 01) and genetic cor-relation (- 0, 02). Thus, it seems important that, when studies are held in order to explain **physio**-logical mechanisms involved in the plant behaviour, correlations discovered between characters of the varieties have to be **confirmed** using segregating genetic material before **any** physiological interpretation.

### Consequences for breeding

The kind of correlation between characters **will** either facilitate or impede breeding of the adaptation ideotype.

Direct physiological cor-relations or genetic **ones** with a strong linkage effect **can** be directly exploited if they **benefit** the plant. They **allow**, among other things, to adopt early tests as **done** in the case of the link between roots **develop**-ment at juvenile and grown **up** stages observed for **many** species (Hurd, 1974 ; Townley-Smith *et al.*, 1977 ; Ahmadi, 1983 ; de Souza *et al.*, 1984 ; Coleman, 1986 ; Khalfaoui, 1988). On the contrary, if they don't **benefit** the plant, they **will lead** to try to **find out** a compromise. This is the case of physiological adaptive characters presenting a **cost** towards **productivity**. This compromise **will** be obtained by applying a certain selection pressure on **each** character **during** the whole breeding program.

When there is no strong linkage effect, a genetic correlation between adaptive characters **will lead** either to **co-select** the alleles in order to **keep** their association when **one** is of some **benefit**, or to **counter-select** them in order to separate them when the initial association is of negative effect towards the available varieties.

Appendix 5.- Genetic variabilities of mechanisms of drought **escape** for the main studied species

| MECHANISMS                                      | GRAMINACEOUS |       |              |      |         |       | LEGUMINOUS               |        |        |         | OTHERS |          |        |           |
|---|--------------|-------|--------------|------|---------|-------|--------------------------|--------|--------|---------|--------|----------|--------|-----------|
|   | BARLEY       | MAIZE | PEARL MILLET | RICE | SORGHUM | WHEAT | BEAN (PABECLUS VULGARIS) | CONPEA | PEANUT | SOYBEAN | COTTON | OIL PALM | POTATO | SUNFLOWER |
| <b>1) Reduction of water loss</b>               |              |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| - Reduction of leaf area :                      |              |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| * constituent characters :                      |              |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| * inductible characters :                       |              |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| . leaf size                                     |              | +     | +            |      |         | +     |                          |        |        |         |        |          |        | +         |
| . leaf senescence                               |              | +     |              |      |         |       |                          |        |        |         |        |          |        |           |
| - High stomatal resistance :                    |              |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| * rapid stomatal closure with glucidic reserves |              | +     | +            | +    | +-      | O     | +                        | +      |        | +       | +      | +        | +      |           |
| * low transpiration rate at complete aperture   |              |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| * stomatal, density and size :                  | +            | +     | +            | +    | +       | O     | +                        | (O)    | +      | +       | +      | +        | +      | +         |
| - High cuticular resistance :                   |              |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| * epicuticular wax.                             | +            |       |              | +    | +       | +     | +                        | (O)    | +      | +       | +      | +        | +      |           |
| * cohesiveness of cells                         | +-           |       |              | +    | +       | +     | +                        |        |        |         |        |          |        |           |
| - Leaf pubescence                               |              |       |              |      |         |       | +                        |        | +      |         |        |          |        | +         |
| - Reduction of interception of radiations :     |              |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| * reduction of leaf area (above)                |              |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| * high leaf reflectance :                       |              |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| . epicuticular wax (above)                      |              |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| . foliage color                                 | +            |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| * leaf angle                                    | +            |       |              |      |         |       |                          | +      |        |         |        |          |        |           |
| * leaf movements :                              |              |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| . wilting                                       |              |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| . rolling                                       |              |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| . paraheliotropy                                |              | +     | +            | +    | +       | +     |                          |        |        |         |        |          |        |           |
| * epiklelets                                    | +            |       |              |      |         | +     |                          |        |        |         |        |          |        | +         |
| <b>Water absorption</b>                         |              |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| Root growth :                                   |              |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| * rate of initial growth                        |              |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| depth   | +            | +     | +            | +    | +       | +     |                          | +      | +      | +       |        | +        | +      |           |
| * root density                                  | +            | +     | +            | +    | +       | +     |                          | +      | +      |         |        | +        | +      |           |
| Hydraulic resistance of roots                   |              |       |              |      |         |       |                          |        |        |         |        |          |        |           |
| * diameters of xylem vessels                    |              |       |              | +    |         | +     |                          |        |        |         |        |          |        |           |
| * number of xylem vessels                       |              |       |              |      |         | +-    |                          |        |        |         |        |          |        |           |

Legend : Appendix 4

### 3.- HEREDITY OF ADAPTIVE CHARACTERS

In appendixes 7 and 8, the principal bibliographical data concerning the heredity of avoidance and **tolerance** characters are presented for some species mainly studied. The figures of these different studies are not easily comparable with **one** another because of the disparity of genetic materials, of technics, of generations, of environmental conditions and of models of **estimate** ; this is the reason why these figures have been **replaced** by qualitative **appreciations**.

First of all, these data-boards draw the extremely limited extend of our knowledge in the field of the heredity of adaptive characters. Few species have **been** studied and in a **very** fragmentary way. **However**, these data are necessary for optimizing breeding methods adapted to **each** case. They belong to a **field** of research that has to be better explored.

Several adaptive characters seem to **depend** on a reduce number of genetic factors (major genes or major groups of genes). For example, the **presence** of spikelets on ears (**Evans et al.**, 1972) and the accumulation of wax at the **cuticle**

Appendix 6.- Genetic variabilities of mechanisms of drought **tolerance** for the main studied species

| MECHANISMS  | GRAMINACEOUS |       |              |      |         |       | LEGUMINOUS                |        |        |         | OTHERS |          |        |           |
|---|--------------|-------|--------------|------|---------|-------|---------------------------|--------|--------|---------|--------|----------|--------|-----------|
|   | BARLEY       | MAIZE | PEARL MILLET | RICE | SORGHUM | WHEAT | BEAN (PHASEOLUS VULGARIS) | COMPEA | PEANUT | SOYBEAN | COTTON | OIL PALM | POTATO | SUNFLOWER |
| ▪ Germination under high osmotic pressure'                              | +            | +     |              |      | +       | +     |                           |        | +      | +       |        |          |        |           |
| ▪ Tolerance to dehydration (and heat) :                                 |              |       |              |      |         |       |                           |        |        |         |        |          |        |           |
| . stability of protoplasmic membranes                                   |              | +     | +            |      | +       | +     |                           | +      | +      | +       | +      | +        | +      | +         |
| . stability of proteins (among others those involved in photosynthesis) |              | +     | +            |      | +       | +     |                           |        |        |         |        | +        |        |           |
| ▪ Maintenance of turgor :   |              |       |              |      |         |       |                           |        |        |         |        |          |        |           |
| . osmotic adjustment  |              |       | +            | 0    | +       | +     | +                         | 0      |        | 0       |        |          |        | 0         |
| . tissue elasticity   |              |       |              |      |         |       |                           |        |        |         |        |          |        |           |
| Maintenance of photosynthesis :   |              |       |              |      |         |       |                           |        |        |         |        |          |        |           |
| . amount of proteins/unit of leaf area                                  |              |       |              |      | +       | +     |                           | +      | +      | +       |        |          |        |           |
| . spikelets   | +            |       |              |      |         | +     |                           |        |        |         |        |          |        |           |
| Maintenance of harvested organs production :                            |              |       |              |      |         |       |                           |        |        |         |        |          |        |           |
| . change in allocation of assimilates                                   |              |       |              |      | +       |       |                           |        |        |         |        |          |        |           |
| . compensatory effect of production components                          |              |       |              |      |         | +     |                           |        |        |         |        |          |        |           |
| Modification of metabolism (plant hormones)                             | +            | +     | +            |      | +       | +     | +                         | +      |        |         |        |          |        |           |

Legend : Appendix 4

level (Blum, 1975 ; Richard, 1982 ; Avato et *al.*, 1985). The **rest** of the characters are polygenic heredity type with, in most cases, a marked **preponderance** of additive genetic **effects over** non additive **ones** (**dominance** and epistasis). In spite of a limited genetic **complexity**, due to additive **effects**, the estimates of broad and narrow sense heritabilities are often low or **very** low because of marked intervention of **environment** in phenotypical expression and **because** of an important interaction between genotypes and environments.

### Consequences of breeding

When adaptive characters are determined by a **very** limited number of genetic factors, it **will** be easy to transfer a positive expression to agronomically interesting varieties. The best adapted breeding method is, in this case, the **Back-cross one**. Essentially, it allows transfer of the favourable alleles with **very little modification** of the genotype of the interesting **cultivar** and thus, if pleiotropism is



Appendix 7.- Heredity of mechanisms of drought avoidance for the main studied species

| MECHANISMS                                  | Graminaceous        |                 |              |                           |                        |                           | Leguminous | Other               |
|---|---------------------|-----------------|--------------|---------------------------|------------------------|---------------------------|------------|---------------------|
|   | Barley              | Maize           | Pearl Millet | Rice                      | Sorghum                | Wheat                     | Peanut     | Cotton              |
| <b>J) Reduction of water loss</b>           |                     |                 |              |                           |                        |                           |            |                     |
| - Leaf area                                 | A<br>$h_n = 1$ to H |                 |              |                           |                        | A >> NA<br>$h_n = 1$ to H |            |                     |
| - Stomatal resistance :                     |                     |                 |              | A<br>♀                    |                        |                           | A ≈ NA     | A > NA<br>$h_n = 1$ |
| . stomatal closure                          |                     |                 |              |                           |                        |                           |            |                     |
| with glucidic reserves                      |                     |                 |              |                           |                        |                           |            |                     |
| . stomatal density and size                 | A<br>$h_n = 1$ to H | A, NA<br>fewgen |              | A, NA                     | A >> NA                |                           |            | $h_b = 1$           |
| - Cuticular resistance :                    |                     |                 |              |                           | A                      | A > NA<br>$h_n = 1$ to M  |            |                     |
| . epicuticular wax                          |                     | polygen         |              |                           | A >> NA<br>♀<br>fewgen | monogen                   |            |                     |
| - Reduction of interception of radiations : |                     |                 |              |                           |                        |                           |            |                     |
| . leaf area (above)                         |                     |                 |              |                           |                        |                           |            |                     |
| . leaf reflectance :                        |                     |                 |              |                           |                        |                           |            |                     |
| * epicuticular wax (above)                  |                     |                 |              |                           |                        |                           |            |                     |
| * leaf angle                                | $h_n = 1$ to M      |                 |              | $h_b = 1$ to H<br>monogen |                        |                           |            |                     |
| * spikelets                                 |                     |                 |              |                           |                        | monogen                   |            |                     |
| <b>I) Water absorption</b>                  |                     |                 |              |                           |                        |                           |            |                     |
| - Root growth :                             |                     | A > NA          |              |                           |                        |                           |            |                     |
| . rate of initial growth                    |                     |                 |              | A > NA<br>♀               | A, NA                  | A                         | A > NA     | $h_b = M$           |
| . depth                                     |                     |                 | NA ≥ A       | A ≥ NA<br>$h_n = M$       |                        | A > NA                    | A >> NA    |                     |
| . number of roots                           |                     |                 | NA ≥ A       | A ≥ NA                    |                        | A > NA<br>♀               | A          |                     |
| - Hydraulic resistance of roots :           |                     |                 |              |                           |                        |                           |            |                     |
| . diameters of xylem vessels                |                     |                 |              | A > NA<br>$h_n = M$       |                        | $h_n = M$                 |            |                     |
| . number of xylem vessels                   |                     |                 |              |                           |                        | $h_n = M$                 |            |                     |

absent, of its general behaviour. The **difficulty** which is often met is due to environmental conditions which affect genes expression. It is the case, for **example**, for the quantity of epicuticular wax in sorghum (Blum et *al.*, 1978 ; Blum, 1985) and the maturity at harvesting in peanut (Khalfaoui, 1988). This **phenomenon dictates** to homogenize as **much** as possible the environmental conditions, which is made possible by the limited number of plants worked upon by **Back-cross**. When the **in-vitro** technic of genes transfer will be mastered, genetic engineering will be an effective and rapid method of transfer to **efficient** varieties of favourable behaviour determined by monogenic characters.

In the case of adaptive characters with polygenic heredity, the task **will**

Appendix 8.- Heredity of mechanisms of drought tolerance for the main studied species

|  | GRAMINACEOUS |                         | LEGUMINOUS |                | OTHER       |
|--|--------------|-------------------------|------------|----------------|-------------|
|  | BARLEY       | MAIZE                   | PEANUT     | SOYBEAN        | COTTON      |
| Germination under high osmotic pressure  |              | A >> NA<br>♀<br>few gen |            |                |             |
| ▪ Tolerance to dehydration (and heat) :<br>· stability of protoplasmic membranes |              | A ≈ NA                  | A >> NA    | $h_n = M$ to H | hb = 1 to M |

be more difficult. Two possibilities may arise. First, the ideotype of adaptation to be created depends on the expression of a small number of physiological characters which are found in distinct varieties, agronomically interesting. Breeding can be conducted successfully with classical breeding methods of pedigree type, performed with crosses between few genotypes. It is necessary to breed the favourable characters from important effectives and to give preference to choices taking family performances into account, in order to take into account weak heritabilities.

Secondly, the more complex ideotype concerns several adaptive mechanisms in order to face the given type of drought in a compatible way with a good agronomical value. The classical breeding method for adaptation to drought used until today, consists in an indirect selection according to productivity under dry conditions (Sullivan, 1972 ; O'Toole et al., 1977), of pedigree type with simple crosses at its basis. But, in the case of a complex ideotype of adaptation, this method is greatly limited ; for this reason, a new approach is recommended (Khalifaoui, 1985). This one is based on four principles :

*1 - Extension of the genetic variability which is worked*

On the classical breeding method is conducted with simple crosses between unusually not more than three cultivars at its basis. But the number of characters selected demands a multiplication of genotypes in order to regroup favourable expression inside the genetic material which is worked on. Moreover, an important genetic variability determines, according to the fundamental theorem of natural selection (Fisher, 1930), a high rate of genetic progress as generations follow one another.

*2 - Application of direct selection on adaptive characters*

The classical breeding method deserves the term of indirect since it does not estimate the level of adaptation of individuals by a determination of their behaviours in relation to physiological adaptive characters but by paying atten-

tion to the effects on productivity under drought conditions of the resultant of the expressions on adaptive characters. The more productive genotypes are the best adapted **ones**. This approach has two drawbacks :

« First, drought in a given place being qualitatively and **quantitatively** variable according to the year, the selection pressure applied on **each** generation is variable. Genetic progress is contingent and tends to hit the **ceiling**. Application of direct selection on adaptive characters allows the **homogenize** the selection pressure and makes steadier and more **continuous** the genetical progress.

« The second drawback of indirect selection based on productivity under drought conditions lies in heredity of adaptive characters. Indeed, we have **seen** that most of these characters are polygenic. **Heritability** is variable according to characters because of a genetic **complexity** degree and of an environmental intervention degree in their expression which are variable. Applying indirect selection on productivity, which is the resultant of the whole of the adaptive and productive characters, the selection pressure **acts in preference** on the more heritable characters, genetically the **less complex**. It has **little** influence on genetically **complex** characters which tend to **escape** selection. This phenomenon, taken into little **account**, is **one** of the main reasons of our **slack** times in genetic progress that we face nowadays after natural and **human selections** both related to productivity. **One may** suppose that this pause is probably not due to a physiological definitive limitation but to a temporarily genetic **one**, because there is still some potential genetic important spare progress linked to **complex** polygenic characters. This potential **can** be exploited by applying direct selection on these characters using methods allowing to work on additive genetic effects and also on **dominance** and epistasis effects which are important and even sometimes **preponderant**. Improvement of this type of genetic effects demands the carrying **out** of the largest number of combinations of alleles of the different genes in order to keep the best genic associations. But the classical breeding methods of pedigree type **lead** to a **very rapid fixing** of the combinations by homozygosity which impedes their multiplication. In addition, the high selection pressure applied puts aside the favorable combinations which are rare and whose expression is highly under environmental influences. On the contrary, **recurrent** selection methods, by a multiplication genic combinations thanks to repeated intercrosses, allows to a multiplication of allelic "**drawings**" and, thus the best combinations **can** be selected.

### **3 - Dissociation of long genetic improvement from a short term one**

From the initial genetical variability, the classical breeding methods of pedigree type imposes a high selection pressure on individuals. It leads to a **very** rapid restriction of genetical variability and thus to a pause in medium and long **term** genetical progress. On the contrary, a low selection pressure would allow to preserve and optimize genetic variability but would prevent us from obtaining a high rate of genetical progress and, therefore interesting varieties quickly **popularizable**. Indeed, selection pressure is the second **component**, with genetical variability, which determines the rate of genetic progress between generation (Fisher, 1930). **Its fundamental** opposition of long against short term **improvement** of **complex** genetic characters leads to dissociate long from short term improvement processes. Long **term** improvement is managed by methods of

selection of recurrent type applied on populations of wide genetic variability. Thanks to a **moderate** selection pressure they enable us to make optimum the genetic **variability**. Short term improvement is managed by classical breeding methods of new varieties taking for basis populations improved by recurrent selection. Thanks to a high selection pressure they enable us to quickly create improved popularizable varieties.

#### **4 - Dissociation of breeding for productivity from selection based on adaptive characters**

We have seen that lots of adaptive physiological characters create costs for the plant productivity (Fisher, 1979 ; Richard, 1982) and are certainly a genetic charge for productivity.

Secondly, conditions of water supply favorable to the breeding of some physiological characters of adaptation to drought are different from conditions of water supply favorable to the breeding of productivity (Quisenberry, 1983 ; Richard, 1982). Indeed, physiological characters, completely or partially inductive, need intervention of marked water stresses, as in the case of protoplasmic resistance and osmotic regulation, while differences between genotypes for productivity characters will appear at their maximum under optimum conditions of water supply according to the concerned region which correspond to an absence of hard drought.

Negative physiological correlations between some adaptive characters and productivity and moreover the opposition of water supply conditions favorable to breed for adaptive characters to those favorable to breed for productivity, lead to dissociate breeding for productivity from breeding for adaptive characters.

Recurrent selection is able to lead both of them according to two processes which are developed at the same time. From a population, one part of the individuals are screened under controlled conditions for their physiological adaptive characters to drought, after inducing drought. The advantage of its application lies in its relative reproductibility which makes possible to keep a constant selection pressure, important factor of selection optimization. A second part of the individuals is selected for productivity and agronomical characters according to the result of a comparative essay on the field. A topping-up irrigation may be added in order to avoid serious stresses. Individuals which have passed the physiological tests are then intercrossed with those chosen in the agronomic essay in order to associate their respective qualities in the same genotypes. The result of these crosses is an improvement population which will be selected by the same processes. This plan of selection may be called "divergent-convergent".

Following the principle of dissociation of long from short term genetic improvement, the improved populations by recurrent selection are used as the starting point for classical breeding method of new varieties.

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